

The Power and the Polynomial.

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Some years ago I saw the following problem in a mathematics competition.

Solve the simultaneous equations

$$\begin{cases} x + y + z = 1 \\ x^2 + y^2 + z^2 = 29 \\ x^3 + y^3 + z^3 = -29 \end{cases}$$

If you're game you can try to solve this problem and you might even guess a solution, although proving it is the only solution is a little harder. Certainly attempting to eliminate two of the unknowns would be a foolhardy thing to do. A seemingly unrelated problem, but one which we shall see involves similar ideas is:

Let a, b, c be real numbers such that $a + b + c = 0$. Prove that

$$\frac{(a^5 + b^5 + c^5)}{5} = \frac{(a^3 + b^3 + c^3)}{3} \cdot \frac{(a^2 + b^2 + c^2)}{2}.$$

Both of these problems involve expressions which are **symmetrical**. That is, if we interchange any of the symbols, the expressions remain the same. The key to both these problems is to look at a cubic equation which has roots x, y, z in the first case, and roots a, b, c in the second.

If we construct monic polynomials of degree 2,3,4,.. with given roots $\alpha, \beta, \gamma, \dots$ we can begin to see some useful patterns.

For quadratics,

$$(x - \alpha)(x - \beta) = x^2 - (\alpha + \beta)x + \alpha\beta$$

and so if α, β are the roots of the quadratic $ax^2 + bx + c = 0$ then

$$\alpha + \beta = -\frac{b}{a} \quad \text{and} \quad \alpha\beta = \frac{c}{a}.$$

For cubics,

$$(x - \alpha)(x - \beta)(x - \gamma) = x^3 - (\alpha + \beta + \gamma)x^2 + (\alpha\beta + \alpha\gamma + \beta\gamma)x - \alpha\beta\gamma$$

and so if α, β, γ are the roots of the cubic $ax^3 + bx^2 + cx + d = 0$ then

$$\alpha + \beta + \gamma = -\frac{b}{a}, \quad \alpha\beta + \alpha\gamma + \beta\gamma = \frac{c}{a}, \quad \alpha\beta\gamma = -\frac{d}{a}.$$

For quartics

$$(x - \alpha)(x - \beta)(x - \gamma)(x - \delta) = x^4 - (\alpha + \beta + \gamma + \delta)x^3 + (\alpha\beta + \alpha\gamma + \alpha\delta + \beta\gamma + \beta\delta + \gamma\delta)x^2 - (\alpha\beta\gamma + \alpha\beta\delta + \alpha\gamma\delta + \beta\gamma\delta)x + \alpha\beta\gamma\delta,$$

and so if $\alpha, \beta, \gamma, \delta$ are the roots of the cubic $ax^4 + bx^3 + cx^2 + dx + e = 0$ then

$$\alpha + \beta + \gamma + \delta = -\frac{b}{a}, \quad \alpha\beta\gamma + \alpha\beta\delta + \alpha\gamma\delta + \beta\gamma\delta = \frac{c}{a}$$

$$\alpha\beta\gamma + \alpha\beta\delta + \alpha\gamma\delta + \beta\gamma\delta = -\frac{d}{a}, \quad \alpha\beta\gamma\delta = \frac{e}{a}.$$

You can see how the pattern continues.

Examples:

1. If $p(x) = 3x^3 - 2x^2 + x - 1$ has roots α, β, γ , then
 $\alpha + \beta + \gamma = \frac{2}{3}, \quad \alpha\beta + \alpha\gamma + \beta\gamma = \frac{1}{3}, \quad \alpha\beta\gamma = \frac{1}{3}$

2. If $q(x) = 2x^4 - 3x^3 + x^2 + 5x - 7$ has roots $\alpha, \beta, \gamma, \delta$, then

$$\alpha + \beta + \gamma + \delta = \frac{3}{2}, \quad \alpha\beta + \alpha\gamma + \alpha\delta + \beta\gamma + \beta\delta + \gamma\delta = \frac{1}{2}$$

$$\alpha\beta\delta + \alpha\gamma\delta + \beta\gamma\delta = \frac{-5}{2}, \quad \alpha\beta\gamma\delta = \frac{-7}{2}.$$

These formulae can be used to solve the first of the posed problems. But before we do that, let's have a look a similar but slightly easier one.

3. Solve the simultaneous equations

$$\begin{cases} a + b + c = 2 \\ bc + ac + ab = -5 \\ abc = -6 \end{cases}$$

Suppose a, b, c are roots of a cubic equation, then we are given here the co-efficients of that cubic. In fact a, b, c satisfy, $x^3 - 2x^2 - 5x + 6 = 0$ which has an obvious root of $x = 1$, and so the cubic factors as $(x - 1)(x - 3)(x + 2) = 0$. Hence the solution is $a, b, c = 1, 3, -2$ in any order.

Observe that the formulae above are all **symmetric**, i.e. they remain the same when the variables are re-arranged. It turns out that **any** symmetric expression involving the roots of a polynomial can be evaluated in terms of the co-efficients of the polynomial. I will not prove this here, but some examples will show the result to be at least plausible. Newton gave the first proof of this.

Example:

4. If α, β are the roots of $2x^2 - 5x + 4 = 0$, find $\alpha^2 + \beta^2$ and $\frac{1}{\alpha} + \frac{1}{\beta}$.

Firstly, $\alpha + \beta = \frac{5}{2}$ and $\alpha\beta = 2$

Now $\alpha^2 + \beta^2 = (\alpha + \beta)^2 - 2\alpha\beta = \frac{9}{4}$ and $\frac{1}{\alpha} + \frac{1}{\beta} = \frac{\alpha + \beta}{\alpha\beta} = \frac{5}{4}$.

Observe that in both cases we could re-arrange the symmetric expression and write it in terms of the sum and product of the roots.

Example:

5. If α, β, γ are the roots of $x^3 - 7x^2 + 2x + 4 = 0$ find $\alpha^2 + \beta^2 + \gamma^2$ and $\alpha^2\beta + \beta^2\alpha + \alpha^2\gamma + \beta^2\gamma + \gamma^2\beta + \gamma^2\alpha$.

Firstly $\alpha + \beta + \gamma = 7$, $\alpha\beta + \alpha\gamma + \beta\gamma = 2$, and $\alpha\beta\gamma = -4$,
and $\alpha^2 + \beta^2 + \gamma^2 = (\alpha + \beta + \gamma)^2 - 2(\alpha\beta + \alpha\gamma + \beta\gamma) = 49 - 2 \times 2 = 45$

The second expression to be found is $(\alpha\beta + \alpha\gamma + \beta\gamma)(\alpha + \beta + \gamma) - 3\alpha\beta\gamma = 2 \times 7 - 3 \times (-4) = 26$.

You should now try to solve the simultaneous equations

$$\begin{cases} a + b + c = -1 \\ a^2 + b^2 + c^2 = 9 \\ abc = 4. \end{cases}$$

By the way, up until this point, the ideas I have talked about are all from 3-Unit and 4-Unit High School mathematics.

To properly solve the initial problems I posed we need to go a little beyond the High School syllabus and find some nice formulae for the **sums of powers of the roots**.

Suppose α, β, γ are the roots of $x^3 + a_1x^2 + a_2x + a_3 = 0$. We will let s_n be the sum of the n th powers of the roots. We can thus write down the following results:

$$s_1 = \alpha + \beta + \gamma = -a_1 \text{ and so } s_1 + a_1 = 0$$

$$s_2 = \alpha^2 + \beta^2 + \gamma^2 = (\alpha + \beta + \gamma)^2 - 2(\alpha\beta + \alpha\gamma + \beta\gamma) = a_1^2 - 2a_2$$

I will write this as

$$s_2 + s_1a_1 + 2a_2 = 0.$$

After some hard work, you can show that the next line in the pattern is

$$s_3 + s_2a_1 + s_1a_2 + 3a_3 = 0.$$

After this, the pattern changes a little and becomes,

$$s_4 + s_3a_1 + s_2a_2 + s_1a_3 = 0$$

and

$$s_5 + s_4a_1 + s_3a_2 + s_2a_3 = 0$$

and so on.

Starting with s_1 , we can build up to any power we like.

These formulae are all we need to solve our problems. but the full story is:

Suppose $\alpha_1, \alpha_2, \dots, \alpha_n$ are the roots of $x^n + a_1x^{n-1} + \dots + a_n = 0$.

$$s_1 + a_1 = 0$$

$$s_2 + a_1s_1 + 2a_2 = 0$$

$$s_3 + a_1s_2 + a_2s_1 + 3a_3 = 0$$

$$s_4 + a_1s_3 + a_2s_2 + a_3s_1 + 4a_4 = 0$$

.....

$$s_{n-1} + a_1s_{n-2} + \dots + (n-1)a_{n-1} = 0$$

$$s_n + a_1s_{n-1} + \dots + na_n = 0$$

The pattern in fact continues beyond here,

$$s_{n+1} + a_1s_n + \dots + s_1a_n = 0$$

$$s_{n+2} + a_1s_{n+1} + \dots + s_2a_n = 0$$

.....

These were also proved by Newton.

Some examples are in order.

Example:

6. Find the sum of the cubes and the sum of the fourth powers of the roots of the equation $x^3 - 2x^2 + 3x + 1 = 0$.

$$s_1 = 2, \quad s_2 - 2s_1 + 2 \times 3 = 0 \text{ and so } s_2 = -2$$

$$s_3 - 2s_2 + 3s_1 + 3 \times 1 = 0 \text{ and so } s_3 = -13.$$

$$s_4 - 2s_3 + 3s_2 + s_1 = 0 \text{ and so } s_4 = -22.$$

We can now (finally) solve the first problem posed. Solve the simultaneous equations

$$\begin{cases} x + y + z = 1 \\ x^2 + y^2 + z^2 = 29 \\ x^3 + y^3 + z^3 = -29 \end{cases}$$

Let x, y, z be the roots of the cubic $w^3 + a_1w^2 + a_2w + a_3$. The first equation gives $a_1 = -1$, and since $s_2 + s_1a_1 + 2a_2 = 0$ we have $29 - 1 + 2a_2 = 0$ and so $a_2 = -14$. Also $s_3 + s_2a_1 + s_1a_2 + 3a_3 = 0$ and so $a_3 = 24$. Thus, the numbers we seek are the roots of the cubic equation $x^3 - x^2 - 14x + 24 = 0$. This we can solve by trial and error and obtain the solutions 2, 3 - 4 and so $x, y, z = 2, 3, -4$ in any order.

For our second problem:

Let a, b, c be real numbers such that $a + b + c = 0$. Prove that

$$\frac{(a^5 + b^5 + c^5)}{5} = \frac{(a^3 + b^3 + c^3)}{3} \cdot \frac{(a^2 + b^2 + c^2)}{2}.$$

Let a, b, c be the roots of $x^3 + a_1x^2 + a_2x + a_3 = 0$ and note that $a_1 = a + b + c = 0$. Thus, $s_1 = 0$, and $s_2 = -2a_2$, $s_3 + a_2s_1 + 3a_3 = 0$ so $s_3 = -3a_3$. Also $s_4 + a_2s_2 + a_3s_1 = 0$ and so $s_4 = 2a_2^2$ and finally, $s_5 + a_2s_3 + a_3s_2 = 0$ giving $s_5 = 5a_2a_3$. Thus $\frac{s_3 s_2}{3 \cdot 2} = a_2a_3 = \frac{s_5}{5}$ and the result is proven.

Here is a challenge for you to try. The problem was first posed last century.

If $x + y + z = 0$ show that

$$\frac{(x^{11} + y^{11} + z^{11})}{11} = \frac{(x^3 + y^3 + z^3)}{3} \cdot \frac{(x^8 + y^8 + z^8)}{2} - \frac{(x^3 + y^3 + z^3)^3}{9} \cdot \frac{(x^2 + y^2 + z^2)}{2}.$$